A SYSTEMS THINKING MODEL: THE ICEBERG

Systems thinking is a way of approaching problems that asks how various elements within a system — which could be an ecosystem, an organization, or something more dispersed such as a supply chain — influence one another. Rather than reacting to individual problems that arise, a systems thinker will ask about relationships to other activities within the system, look for patterns over time, and seek root causes.

One systems thinking model that is helpful for understanding global issues is the iceberg model. We know that an iceberg has only 10 percent of its total mass above the water while 90 percent is underwater. But that 90 percent is what the ocean currents act on, and what creates the iceberg’s behavior at its tip. Global issues can be viewed in this same way.

LEVELS OF THINKING

1. The Event Level
   The event level is the level at which we typically perceive the world—for instance, waking up one morning to find we have caught a cold. While problems observed at the event level can often be addressed with a simple readjustment, the iceberg model pushes us not to assume that every issue can be solved by simply treating the symptom or adjusting at the event level.

2. The Pattern Level
   If we look just below the event level, we often notice patterns. Similar events have been taking place over time — we may have been catching more colds when we haven’t been resting enough. Observing patterns allows us to forecast and forestall events.

3. The Structure Level
   Below the pattern level lies the structure level. When we ask, “What is causing the pattern we are observing?” the answer is usually some kind of structure. Increased stress at work due to the new promotion policy, the habit of eating poorly when under stress, or the inconvenient location of healthy food sources could all be structures at play in our catching a cold. According to Professor John Gerber, structures can include the following:
   - Physical things — like vending machines, roads, traffic lights or terrain.
   - Organizations — like corporations, governments, and schools.
   - Policies — like laws, regulations, and tax structures.
   - Ritual — habitual behaviors so ingrained that they are not conscious.

4. The Mental Model Level
   Mental models are the attitudes, beliefs, morals, expectations, and values that allow structures to continue functioning as they are. These are the beliefs that we often learn subconsciously from our society or family and are likely unaware of. Mental models that could be involved in us catching a cold could include: a belief that career is deeply important to our identity, that healthy food is too expensive, or that rest is for the unmotivated.

PUTTING THE LEVELS TOGETHER

Take a look at the diagram below to see the Iceberg Model applied to an instance of catching a cold.
GIVE IT A TRY!
Select a recent event that strikes you as urgent, important or interesting. Some examples include a recent hurricane, drought, or winter storm; a controversial Supreme Court decision or a high profile court case; a local policy change or contentious issue; recent military action between nations; or an issue you’ve personally encountered in the last few weeks. Write the event (what is observable about the event) at the top of the blank iceberg below and work your way down through the patterns, underlying systems and mental models, adding as many as you can think of. It can also be useful to move up and down between levels as you think more about the event.

QUESTIONS TO CONSIDER AFTER TRYING OUT THE ICEBERG MODEL
1. Does the iceberg model help broaden your perspective? If so, how might this new perspective be helpful?
2. Consider the concept of entry, or “leverage” points. These are points at which to intervene in a system that could lead to systemic transformation. Does the exercise show you any new entry points at which you are inspired to intervene?
3. What issues that have frustrated you might be interesting to analyze with the Iceberg Model?
THE ICEBERG
A Tool for Guiding Systemic Thinking

EVENTS
What just happened?

PATTERNS/TRENDS
What trends have there been over time?

UNDERLYING STRUCTURES
What has influenced the patterns?
What are the relationships between the parts?

MENTAL MODELS
What assumptions, beliefs and values do people hold about the system? What beliefs keep the system in place?

React
Anticipate
Design
Transform

Adapted from:
• Escalated Thinking. See http://escalatedthinking.com/tools_systems_thinking_iceberg.html